This brochure supplement provides information about Daniel J Griffin that supplements the WESFAIR ADVISORY GROUP, INC. brochure. You should have received a copy of that brochure. Please contact Daniel J Griffin if you did not receive WESFAIR ADVISORY GROUP, INC.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel J Griffin is also available on the SEC's website at www.adviserinfo.sec.gov.

# WESFAIR ADVISORY GROUP, INC.

# Form ADV Part 2B - Individual Disclosure Brochure

for

# Daniel J Griffin

Personal CRD Number: 6932874 Investment Adviser Representative

> Wesfair Advisory Group, Inc. 480 Bedford Road Chappaqua, NY 10514 (914) 238-3734 daniel@wesfairadvisory.com

> > UPDATED: 02/07/2022

## Item 2: Educational Background and Business Experience

#### Name: Daniel J Griffin Born: 1985

#### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor of Science Finance, Economics and Accounting, Syracuse University - 2007

#### **Business Background:**

02/2020 - Present	Co-Owner JH Solutions LLC dba Wesfair Tax
02/2018 - Present	Co-President/Investment Adviser Representative Wesfair Advisory Group, Inc.
07/2014 - Present	President Wesfair Agency, Inc.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

# **Item 4: Other Business Activities**

Daniel Griffin is the Co-Owner of JH Solutions LLC DBA Wesfair Tax, a company that performs accounting and tax preparation services. From time to time, he will offer clients advice or products from this activity. Wesfair Advisory Group, Inc. always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Wesfair Advisory Group, Inc. in their capacity as an accountant or tax preparer.

Daniel John Griffin is a licensed insurance agent and President of Wesfair Agency, Inc.; A licensed insurance agency. From time to time, he will offer clients advice or products from this

activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Wesfair Advisory Group, Inc. always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Wesfair Advisory Group, Inc. in their capacity as a licensed insurance agent.

## Item 5: Additional Compensation

Daniel J Griffin does not receive any economic benefit from any person, company, or organization, other than Wesfair Advisory Group, Inc. in exchange for providing clients advisory services through Wesfair Advisory Group, Inc.

## **Item 6: Supervision**

As a representative of Wesfair Advisory Group, Inc., Daniel J Griffin is supervised by Jacob Hadad, the firm's Chief Compliance Officer. Jacob Hadad is responsible for ensuring that Daniel J Griffin adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jacob Hadad is (914) 238-3734.

## **Item 7: Requirements For State Registered Advisers**

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Daniel J Griffin has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Daniel J Griffin has NOT been the subject of a bankruptcy.